



**ΠΡΕΣΒΕΙΑ ΤΗΣ ΕΛΛΑΔΟΣ
ΣΤΟ ΑΜΠΟΥ ΝΤΑΜΠΙ
ΓΡΑΦΕΙΟ ΟΙΚΟΝΟΜΙΚΩΝ ΚΑΙ
ΕΜΠΟΡΙΚΩΝ ΥΠΟΘΕΣΕΩΝ
ΣΤΟ ΝΤΟΥΜΠΑΪ**

ΕΠΕΙΓΟΝ

Ντουμπάι, 10 Μαΐου 2015
ΑΠ. 2140/ 400 ΑΣ 213

Προς : - Τεχνικό Επιμελητήριο Ελλάδος (ΤΕΕ)
- Πανελλήνιος Σύνδεσμος Ανωνύμων Τεχνικών Εταιρειών (ΣΑΤΕ)
- Σύνδεσμος Τεχνικών Εταιρειών Ανώτερων Τάξεων (ΣΤΕΑΤ)
- Σύνδεσμος Ελληνικών Γραφείων Μελετών (ΣΕΓΜ)
- Πανελλήνιος Ένωση Συνδέσμων Εργοληπτικών Έργων (ΠΕΣΕΔΕ)
- ΑΤΤΙΚΟ ΜΕΤΡΟ ΑΕ

ΕΔ : Γραφείο κ. Πρέσβυ

Θέμα : Ανακοίνωση έναρξης διαγωνιστικής διαδικασίας για την επέκταση του Ντουμπάι Metro /ονομασία έργου Route 2020/ όροι προεπιλογής εργοληπτικών εταιρειών/προθεσμία υποβολής δικαιολογητικών για προεπιλογή έως 31.5.2015, ώρα 12.00 τοπική

Ανακοινώθηκε η έναρξη του διαγωνισμού για τη μελέτη και κατασκευή της επέκτασης του μετρό του Ντουμπάι, μήκους 14,5 χλμ εκ των οποίων, 10,5 χλμ. θα επίγειας και 4 χλμ υπόγειας διαδρομής ενώ προβλέπεται και η κατασκευή 5 επίγειων και 2 υπόγειων σταθμών.

Η επέκταση του μετρό, που θα αποκαλείται 'route 2020' καθώςον εντάσσεται στα έργα για τη διοργάνωση της παγκόσμιας έκθεσης expro2020 στο Ντουμπάι θα ακολουθήσει τη διαδικασία μελέτη-κατασκευή με το κατωτέρω χρονοδιάγραμμα για ενδιαφερόμενες εταιρείες :

31.5.2015 υποβολή δικαιολογητικών με τους τιθέμενους όρους προεπιλογής,
30.6.2015 ανακοίνωση προεπιλεχθέντων εταιρειών,
02.7.2015 διάθεση τευχών δημοπράτησης
6.12.2015 λήψη προσφορών
28.1.2016 επιλογή αναδόχου

Συνημμένως, αποστέλλουμε τους όρους για την προεπιλογή των εταιρειών καθώς και τα τεχνικά στοιχεία του έργου, που εξασφαλίσαμε από την δημοπρατούσα Αρχή Οδοποιίας και Μεταφορών (Road & Transport Authority www.rta.ae)

Παρακαλούμε προς ενημέρωση των μελών σας.

Ο Προϊστάμενος

Μιχαήλ Βρεττάκης
Σύμβουλος ΟΕΥ Α΄

Συν. 3 κείμενα

ROUTE 2020 METRO LINE

INDUSTRY OUTREACH
PRE-PROPOSAL MEETING

GENERAL CONTRACTING (GC) SERVICES

7th May 2015

Consultancy Services for
route2020
EXPO 2020
DUBAI UNITED ARAB EMIRATES



إكسبو 2020
دبي الإمارات العربية المتحدة

Agenda



- 🚂 Introduction
- 🚂 Project Overview
- 🚂 General Contractor Services
- 🚂 Qualification Requirements
- 🚂 Procurement Timeline
- 🚂 Questions and Answers



Project Overview

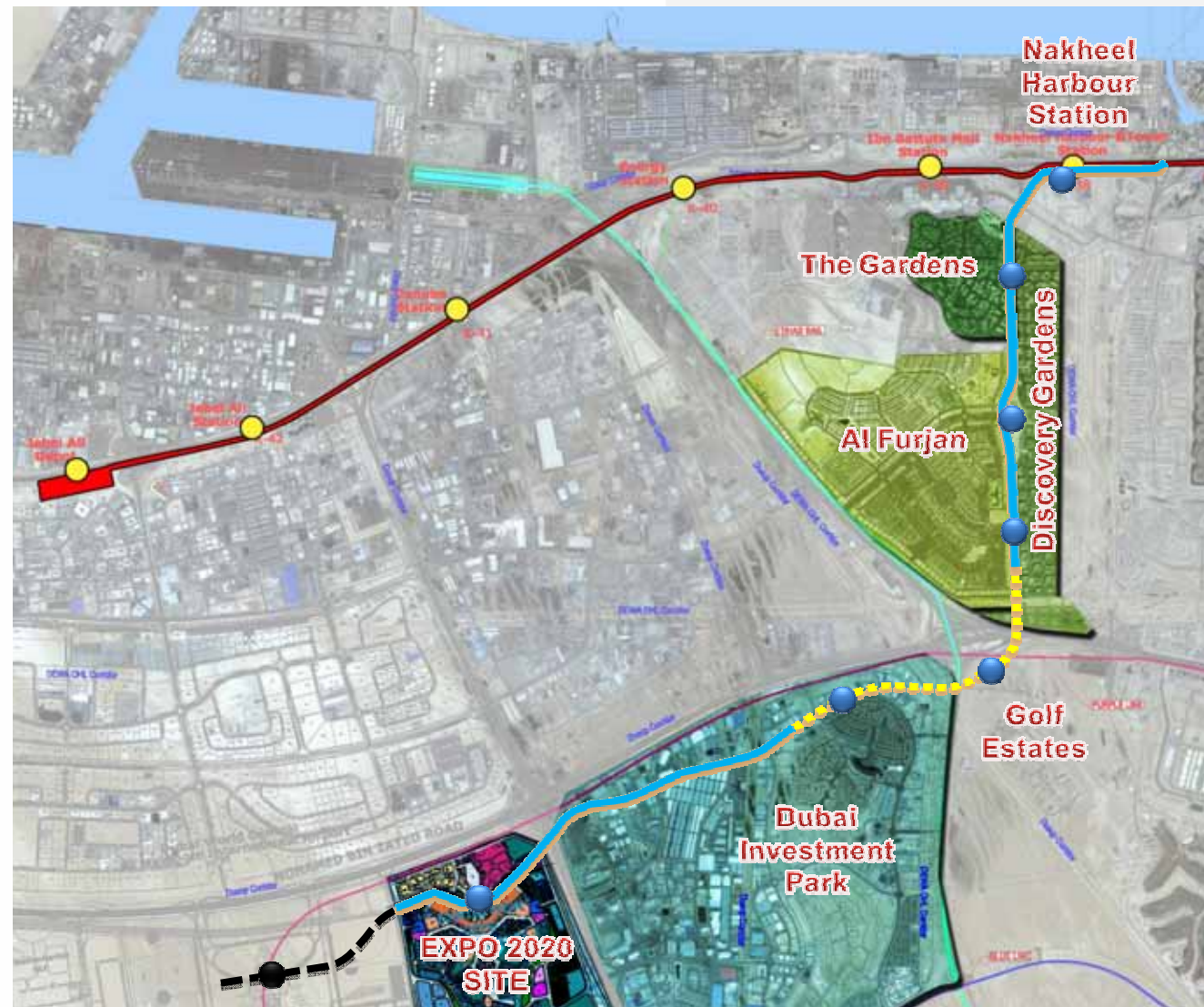


Route 2020 Movie

Introduction



- Length: 14.5Kms
10.5 Elevated
4.0 Tunnel
- Stations: 7 + (3 Potential)
5 Elevated
2 Underground
3 Airport (Potential)
- Major Stakeholders
 - DREC
 - Nakheel
 - JGE
 - Dubai Invest. Park
 - DWTC (EXPO 2020)
 - DAEP (Al Maktoum Airport)
 - All Utility Service Authorities
 - RTA Departments



ROUTE 2020 Project Scope



- 14.5 Kilometers Metro System Expansion
 - Connection to Existing System at Nakheel Harbour and Tower Station
 - Above ground Viaduct and Tunnel Construction
 - Miscellaneous Support Structures
- Stations
 - Interchange station NH&T Station
 - 3 New Elevated Stations in Nakheel Developments
 - 2 New Underground Station (JGE & DIP)
 - Iconic Station at EXPO 2020 site
 - 3 Potential Stations at Al Maktoum Airport

ROUTE 2020 Project Scope



■ Rail Systems

- Connection to Existing System at Nakheel Harbour and Tower Station
- Interoperability with Existing Systems
- Create Flexibility for Future Operational Needs
- Enhance RAMS of the Existing System
- System Expandability
- Compliant with RTA IT Policies

■ Additional Rolling Stock

- Additional Fleet Required to support the EXPO Extension and Capacity Needs of Dubai Metro
- Design Train Interior for Enhanced Capacity
- Maximize use of Energy Efficient Solutions
- Bespoke design for the New Cars (Interior Exterior)

ROUTE 2020 Project Scope



- Enhancements to the Existing System
 - Interoperability with Existing Systems
 - Create Flexibility for Future Operational Needs
 - Power Supply Enhancements for Existing Dubai Metro
 - Uplift Existing Systems to the Level Specified for Route 2020

Contract Requirements



- Contract will be a Design/Build Contract
 - Will include Remeasurable Elements when Deemed Appropriate
 - Strong Leadership to manage and influence diversified human resources with different cultures.
 - Engaging entities with local experience and full awareness of Statutory requirements to ease issuance of design and construction permits.
 - Early integration with Stake holders and Authorities.
 - Implement best practices for Sustainability and efficiently reducing the Environmental impact of the project.
 - Maximize the Integration of the project with the surrounding and maximize utilization of spaces and provide a smooth and safe transport for all.
 - Provision of Flexible Designs that withstands future demand increase during the project life cycle.
 - Consideration of innovative designs and construction methodologies that provides efficiency and time savings.



Consortium

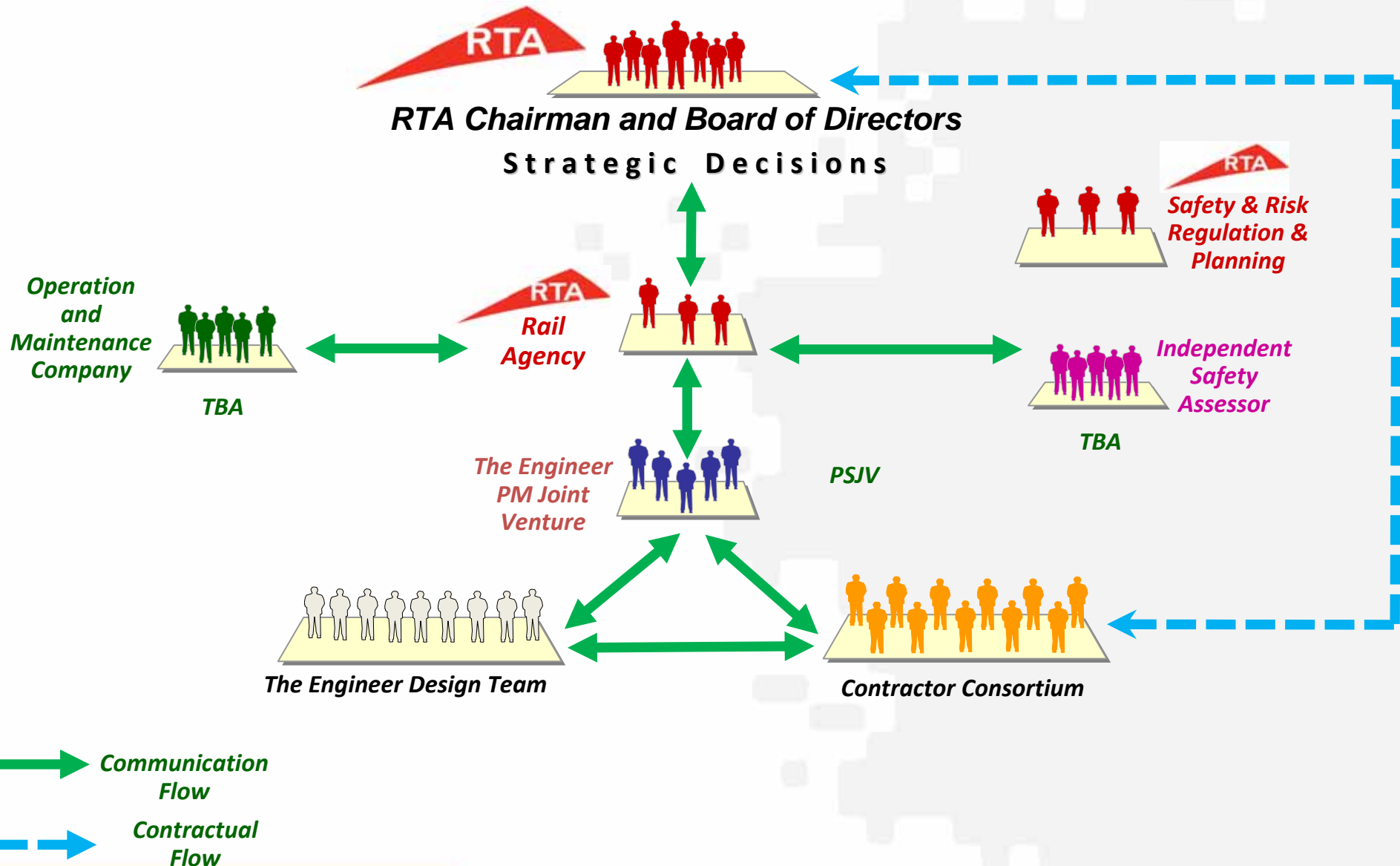
PARSONS · SYSTRA

Consortium



- One-Stop Shop for all Services
 - Safety and to comply with RTA Policies and guidelines
 - Detailed and Final Design
 - Procurement
 - Construction
 - Project Controls
 - Financing - optional
 - QC/QA
 - Systems Assurance Activities
 - Testing and Commissioning
 - Requirements Management
 - DLP Services
 - BIM
 - LEED Certifications for Stations

Project Organization





Qualification Requirements

Qualification Requirements



- Consortium to Provide all Services
 - Recent Metro Experience
 - Civil
 - Systems
 - Vehicles
 - Financial Information
 - Consortium Structure



Request for Qualification

PROCUREMENT SCHEDULE



The deadline for submitting RFP questions and the Qualification Documents are stated below. This schedule is subject to revision through Addendum.

DATES	DESCRIPTION OF EVENTS
May 7, 2015	Release of RFQ
May 7, 2015	Project Briefing
May 31, 2015	RFQ Documents Due
June 2015	RTA Evaluations
June 30, 2015	Shortlist of Approved Consortiums
July 2, 2015	Release of Tender Documents
Dec 6, 2015	Bid Received
Jan 28, 2015	Contractor Selected



All communication shall be directed as per the PQ documents provided



QUESTIONS



Thank You !!

!!

شكراً

**ROADS & TRANSPORT AUTHORITY
ROUTE 2020
Design & Construction
Prequalification Documents**



route2020
EXPO 2020
إكسبو 2020

Route 2020 – Prequalification Documentation

PREQUALIFICATION

PART 1

RFQ PROCEDURE DOCUMENT

Document Number

28/04/2015

R	L	C	-	0	0	0	-	A	R	C	-	C	0	0	-	R	P	T	-	P	S	-	0	0	0	0	1	A4
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CONTENTS OF THE PREQUALIFICATION DOCUMENTS

PART 1 – PREQUALIFICATION PROCEDURE

This Report includes Part 1 only, Part 2 “Application Forms” are provided separately.

Part 1 also contains the following Appendix:

APPENDIX A: SCHEDULE

PART 1 – PREQUALIFICATION PROCEDURES

Table of Contents

SECTION A. INSTRUCTIONS TO APPLICANTS	4
SECTION B. APPLICATION DATA SHEET	15
SECTION C. PREQUALIFICATION CRITERIA.....	20
SECTION D. WORKS REQUIREMENTS.....	36

SECTION A. Instructions to Applicants

Table of Clauses

A.	GENERAL	6
1.	INTRODUCTION.....	6
2.	SCOPE OF APPLICATION	6
3.	FINANCING OF THE PROJECT (OPTION).....	6
4.	PROBATIONS OF CORRUPT PRACTICES	7
5.	ELIGIBLE APPLICANTS.....	7
B.	CONTENTS OF THE PREQUALIFICATION DOCUMENT	8
6.	SECTIONS OF PREQUALIFICATION DOCUMENT.....	8
7.	CLARIFICATION OF PREQUALIFICATION DOCUMENT	8
8.	AMENDMENT OF PRE-QUALIFICATION DOCUMENT	8
C.	PREPARATION OF APPLICATIONS	9
9.	COST OF APPLICATIONS.....	9
10.	LANGUAGE OF APPLICATION.....	9
11.	DOCUMENTS COMPRISING THE APPLICATION	9
12.	APPLICATION FORMS	9
13.	DOCUMENTS ESTABLISHING THE ELIGIBILITY OF THE APPLICANT	10
14.	DOCUMENTS ESTABLISHING THE PREQUALIFICATION OF THE APPLICANT	10
15.	SIGNING OF THE APPLICATION AND NUMBER OF COPIES.....	10
D.	SUBMISSION OF APPLICATIONS.....	11
16.	SEALING AND IDENTIFICATION OF APPLICATIONS.....	11
17.	DEADLINE FOR SUBMISSION OF APPLICATIONS.....	11
18.	LATE APPLICATIONS	11
E.	PROCEDURES FOR EVALUATION OF APPLICATIONS	12
19.	CONFIDENTIALITY	12
20.	CLARIFICATION OF APPLICATIONS	12
21.	RESPONSIVENESS OF APPLICATIONS	12
22.	DOMESTIC APPLICANT PRICE PREFERENCE	12
23.	SPECIALIST SUBCONTRACTORS	12
F.	EVALUATION OF APPLICATIONS AND PREQUALIFICATION OF APPLICANTS	13
24.	EVALUATION OF APPLICATIONS.....	13

25.CLIENT'S RIGHT TO ACCEPT OR REJECT APPLICATIONS.....	13
26.PREQUALIFICATION OF APPLICANTS	13
G. CONSTITUTION OF CONSORTIUM AND INVITATION TO BID.....	14
27.CONSTITUTION OF CONSORTIUM / JOINT VENTURE	14
28.INVITATION TO TENDER	14
29.CHANGES IN QUALIFICATIONS OF CONSORTIUM / JOINT VENTURE	14

Section A. Instructions to Applicants

A. General

1. Introduction

Contractors of International standing and repute, interested in bidding for the works in connection with the Design, Construction of the Route 2020 Metro Rail Project as described in the Scope of Application (paragraph 2 below) are requested / invited to prequalify according to the present procedure.

In connection with this Request/Invitation for Prequalification as indicated in Section B, Application Data Sheet (ADS), the Client, as defined in the ADS, issues this Prequalification Document (PD) to Applicants.

2. Scope of Application

2.1 Applicants are informed that the Client is proceeding with the Prequalification in one package considering the following disciplines of works:

a) **“Civil Works”**. It consists of the following works including the development of the final design:

- Tunneling Works,
- Line Facilities,
- Station Facilities,
- Electromechanical Equipment including Air Conditioning, Ventilation, Elevators, Escalators, dewatering,
- Depot Facilities (Civil Works only),
- Possibly Public Utilities Relocation.

b) **“Rail System”**. It consists of the following works including the development of the final design:

- Rolling Stock,
- System/Train Control System,
- Operation Control Center,
- Communication System,
- Fare Collection System,
- Traction Power and Energy,
- Platform Screen Doors,
- Track Works,
- Enhancement of the current Dubai Metro Red and Green Line Systems
- Maintenance Facilities including the Depot (Equipment only),
- Maintenance during the initial years,

3. Financing of the project (Option)

3.1 The Applicants at this stage must indicate / provide a list of potential reputable financial institutions which may be interested to arrange Project financing facilities.

3.2 The potential financing institution indicated by the Applicants shall provide their track records by filling the Project Financing Forms 7 in Part 2 showing their compliance with the prequalification requirements specified in section C, Prequalification criteria. Please note this is not a mandatory requirement.

4. Prohibition of Corrupt Practices.

- 4.1 Applicants, Suppliers, Contractors, and Subcontractors are required to observe the highest standard of ethics during the procurement and execution of the contract and the following is stated:
- (a) Definition, for the purposes of this provision, of the terms set forth below as follows:
 - (i) “corrupt practice” means the offering, giving, receiving, or soliciting of any thing of value to influence the action of a public official in the procurement process or in contract execution; and
 - (ii) “fraudulent practice” means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of the Client, and includes collusive practices among applicants (prior to or after bid submission) designed to establish bid prices at artificial, non-competitive levels and to deprive the Client of the benefits of free and open competition;
 - (b) The Client will reject a proposal for award if it determines that the applicant recommended for award has engaged in corrupt or fraudulent practices in competing for the Contract; and
 - (c) The Client will declare a firm ineligible, either indefinitely or for a stated period of time, to be awarded a contract if it at any time determines that the firm has engaged in corrupt or fraudulent practices in competing for, or in executing, the Contract.

5. Eligible Applicants

- 5.1 An Applicant shall be a legal entity or any combination of them with the formal intent to enter into an agreement or under an existing agreement in the form of a Consortium / Joint Venture to provide all the services required under this Application.
- 5.2 Applicants shall not have a conflict of interest. Applicants shall be considered to have a conflict of interest, if they participated as a consultant or associate in the preparation of the design or performance specifications of the works that are the subject of this prequalification.
- 5.3 Where a firm, or a firm from the same economic or financial group, in addition to consulting, also has the capability to manufacture or supply goods or to construct works, that firm, or a firm from the same economic or financial group, cannot normally be a supplier of goods or works, if it provided consulting services for the project corresponding to this prequalification, unless it can be demonstrated that there is not a significant degree of common ownership, influence or control

B. Contents of the Prequalification Document

6. Sections of Prequalification Document

- 6.1 The document for the prequalification of Applicants (hereinafter “prequalification document”) consists of parts 1 and 2 below and should be read in conjunction with any eventual Addenda issued in accordance with paragraph 8.

PART 1 Prequalification Procedures (1 volume A4 size)

PART 2 Application Forms (1 volumes A4 size)

- 6.2 The “Prequalification Procedures” and the “Application Forms” issued by the Client are part of the prequalification document.
- 6.3 The Client accepts no responsibility for the completeness of the prequalification document and its addenda unless they were obtained directly from the Client.
- 6.4 The Applicant is expected to examine all instructions, forms, and terms in the Prequalification Document and to furnish all information or documentation required by the Prequalification Document.

7. Clarification of Prequalification Document

- 7.1 A prospective Applicant requiring any clarification of the Prequalification Document shall contact the Client in writing at the Client’s address indicated in the ADS. Should the Client respond to clarifications this will be provided no later than ten (10) days prior to the deadline for submission of applications. The Client shall forward copies of its response to all applicants who have acquired the prequalification document directly from the Client including a description of the inquiry but without identifying its source. Should the Client deem it necessary to amend the prequalification document as a result of a clarification, it shall do so following the procedure under paragraph 8 and in accordance with the provisions of paragraph 17.2.

8. Amendment of Pre-qualification Document

- 8.1 At any time prior to the deadline for submission of applications, the Client may amend the Prequalification Document by issuing addenda.
- 8.2 Any addendum issued shall be part of the Prequalification Document and shall be communicated in writing to all who have obtained the prequalification document from the Client.
- 8.3 To give prospective Applicants reasonable time to take an addendum into account in preparing their applications, the Client may, at its discretion, extend the deadline for the submission of applications.

C. Preparation of Applications

9. Cost of Applications

- 9.1 The Applicant shall bear all costs associated with the preparation and submission of its application. The Client will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.

10. Language of Application

- 10.1 The application as well as all correspondence and documents relating to the prequalification exchanged by the Applicant and the Client, shall be written in the language specified in the ADS. Supporting documents and printed literature that are part of the application may be in another language, provided they are accompanied by an accurate translation of the relevant passages in the language specified in the ADS, in which case, for purposes of interpretation of the application, the translation shall govern.

11. Documents Comprising the Application

- 11.1 The Applicant shall organize the Application submission as follows:

- (a) Covering Letter,
- (b) Application Submission Sheet, in accordance with paragraph 12;
- (c) Application Forms, in accordance with paragraph 12;
- (d) Documentary evidence establishing the Applicant's prequalification, in accordance with paragraph 14 and organized as structured in Section C, Prequalification Criteria, Paragraph 6, Point System Evaluation that shall be applied:
 - Volume 1: General and Administrative Issues,
 - Volume 2: Technical capability,
 - Volume 3: Financial Situation and Financing Capability.
- (e) Any other document required as specified in the ADS.

Note: (a), (b) and (c) above should be presented in one package.

12. Application Forms

- 12.1 The Applicant shall fill in and complete all the blank spaces in the Application Forms in accordance with the instructions for each form indicated in Part 2, Application forms. The Applicant shall note that the forms included in Part 2 are for completion, except the Application Submission Sheet, and that the Applicant shall prepare its prequalification using the corresponding blank forms. Supplementary sheets may be added if sufficient space/sheets are not provided in the form
- 12.2 The Applicant shall prepare an Application Submission Sheet using the form furnished in Part 2, Application Forms. This Form must be completed without any alteration to its format.
- 12.3 In case of a Consortium / Joint Venture each member Company of the Consortium / Joint Venture must complete the prequalification form as specified in the relevant Application Forms.

13. Documents Establishing the Eligibility of the Applicant

- 13.1 To establish its eligibility in accordance with paragraph 5, the Applicant shall complete the eligibility declarations in the Application Submission Sheet and Forms 1 and 2 included in Part 2, Application Forms.

14. Documents Establishing the Prequalification of the Applicant

- 14.1 To establish its qualifications to perform the Contract in accordance with Section C, Prequalification Criteria, the Applicant shall provide ALL the information requested in the corresponding Information Sheets included in Part 2, Application Forms.

15. Signing of the Application and Number of Copies

- 15.1 The Applicant shall prepare one original of the documents comprising the application as described in paragraph 11 and clearly mark it "ORIGINAL". The original of the application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant.
- 15.2 The Applicant shall submit 2 copies of the signed original application, in the number specified in the ADS, and clearly stamp them "COPY". In the event of any discrepancy between the original and the copies, the original shall prevail.
- 15.3 The Applicant shall also submit 3 CD's with the signed original application.

D. Submission of Applications

16. Sealing and Identification of Applications

- 16.1 The Applicant shall enclose the original and the copies of the application in a sealed envelope that shall:
- (a) Bear the name and address of the Applicant;
 - (b) Be addressed to the Client, in accordance with paragraph 17.1; and
 - (c) Bear the specific identification of this prequalification process indicated in the ADS;
- 16.2 The Client will accept no responsibility for not processing any envelope that was delivered unsealed or not identified as required.

17. Deadline for Submission of Applications

- 17.1 Applications shall be received by the Client at the address and no later than the deadline indicated in the ADS. A receipt will be given for all applications submitted.
- 17.2 The Client may, at its discretion, extend the deadline for the submission of applications by amending the Prequalification Document in accordance with paragraph 8, in which case all rights and obligations of the Client and the Applicants subject to the previous deadline shall thereafter be subject to the deadline as extended.

18. Late Applications

- 18.1 Any application received by the Client after the deadline for submission of applications prescribed in paragraph 17 will be returned unopened to the Applicant.

E. Procedures for Evaluation of Applications

19. Confidentiality

- 19.1 Information relating to the evaluation of applications, and recommendation for prequalification, shall not be disclosed to Applicants or any other persons not officially concerned with such process until the notification of prequalification is made to all Applicants.
- 19.2 From the deadline for submission of applications to the time of notification of the results of the prequalification in accordance with paragraph 27, any Applicant that wishes to contact the Client on any matter related to the prequalification process, may do so but only in writing.

20. Clarification of Applications

- 20.1 To assist in the evaluation of applications, the Client may, at its discretion, ask any Applicant for a clarification of its application which shall be submitted within a stated reasonable period of time. Any request for clarification and all clarifications shall be in writing.
- 20.2 If an Applicant does not provide clarifications of the information requested by the date and time set in the Client's request for clarification, its application may be rejected

21. Responsiveness of Applications

- 21.1 The Client may reject any application, which is not responsive to the requirements of the prequalification document.

22. Domestic Applicant Price Preference

- 22.1 Unless otherwise specified in the ADS, a margin of preference for domestic applicants shall not apply in the bidding process resulting from this prequalification. However, the non-domestic Applicants are advised to associate with domestic Companies.

23. Specialist Subcontractors

- 23.1 Applicants planning to subcontract any of the key activities indicated in Section C, Prequalification Criteria, shall specify the activity or parts of the works to be subcontracted in the Application Submission Sheet and clearly identify such activities and the proposed specialist subcontractors in Forms 2 and 4.2(b) in Part 2.
- 23.2 The Client may nominate certain specific parts of the Works.

F. Evaluation of Applications and Prequalification of Applicants

24. Evaluation of Applications

- 24.1 The Client shall use all the factors, methods and criteria defined in Section C, Prequalification Criteria to evaluate the qualifications of the Applicants and any specialist subcontractors. The Client reserves the right to waive minor deviations in the prequalification criteria if they do not materially affect the capability of an Applicant to perform the contract.
- 24.2 Only the qualifications of specialist subcontractors that have been identified in the application may be considered in the evaluation of an Applicant.

25. Client's Right to Accept or Reject Applications

- 25.1 The Client reserves the right to accept or reject any application, and to annul the prequalification process and reject all applications at any time, without thereby incurring any liability to Applicants.

26. Prequalification of Applicants

- 26.1 All Applicants whose applications have met or exceeded the specified threshold criteria will, to the exclusion of all others, be prequalified by the Client.

G. Constitution of Consortium and Invitation to Bid

27. Constitution of Consortium / Joint Venture

- 27.1 After receiving the notification of the prequalification, the successful Applicants shall submit the constitution of the Consortium / Joint Venture to the Client at the address specified and not later than the date indicated in the ADS. A receipt will be given for all Consortium / Joint Venture submissions.
- 27.2 The constituted Consortium / Joint Venture shall nominate one of the Companies constituting the Consortium / Joint Venture as leader and sole representative in front of the Client and shall nominate the name/address/telephone/e-mail of the authorized representative with a Power of attorney from the prequalified Applicants constituting the Consortium / Joint Venture.

28. Invitation to Tender

- 28.1 Promptly after the notification of the receipt of the constituted Consortia / Joint Ventures, the Client shall invite to tender from those consortia approved by the Client at the anticipated date specified in the ADS.
- 28.2 Tenderers will be required to provide tender security acceptable to the Client in the form and an amount as to be specified in the tender document, and the successful tenderer will be required to provide a performance security as to be specified in the tender document.

29. Changes in Qualifications of Consortium / Joint Venture

- 29.1 Any change in the structure or formation of a Consortium / Joint Venture after being prequalified in accordance with paragraph 26 and invited to tender shall be subject to written approval of the Client prior to the deadline for submission of tenders. Such approval shall be denied if as a consequence of the change the Applicant no longer substantially meets the prequalification criteria set forth in Section C, Prequalification Criteria, or if in the opinion of the Client, a substantial reduction in competition may result. Any such changes shall be submitted to the Client not later than 14 days after the date of the Invitation to Tender.

SECTION B. Application Data Sheet

Table of Contents

A.	INTRODUCTION	16
B.	PREQUALIFICATION DOCUMENT	17
C.	PREPARATION OF APPLICATIONS.....	18
D.	SUBMISSION OF APPLICATIONS	19

Section B. Application Data Sheet

A. Introduction

ITA Paragraph (*)	1.1	The identification of the Request For Prequalification (RFQ) is:
	1.2	The name of the Client is: ROADS & TRANSPORT AUTHORITY or any Entity identified by ROADS & TRANSPORT AUTHORITY
	1.3	The names, identification of the contract are: The Design & Build and Construction of Route 2020 Metro Rail Project.
	1.4	The name of the Project is: Route 2020

(*) ITA: Section A - Instructions to Applicants

B. Prequalification Document

ITA Paragraph (*)	2.1	<p>For clarification purposes only, the Client's address is:</p> <p>Attention: Roads & Transport Authority Hussain Hassan Dossary, Head of Rail Contracts and Purchasing</p> <p>Route 2020 Metro Project E-mail: hussian.dossary@rta.ae Cc: john.cheekanal@rta.ae Cc: nasri.ejbara@rta.ae Cc: james.gravesande@parsons.com Cc: cpdepartment@rta.ae</p>
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(*) ITA: Section A - Instructions to Applicants

C. Preparation of Applications		
ITA Paragraph (*)	3.1	The language of the application as well as of all correspondence is: English
	3.2	The Applicant shall submit with its application, the following additional documents: Prequalification Document to be attached to the covering letter mentioned in paragraph 11.1.
	3.3	In addition to the Original , the number of copies to be submitted with the application is: Three (2) copies and (3) CD's

(*) ITA: Section A - Instructions to Applicants

D. Submission of Application

ITA Paragraph (*)	4.1	<p>For application submission purposes only, the Client's address is:</p> <p>Attention: Roads & Transport Authority Headquarters Head of Contracts & Purchasing Department Vendor Affairs Section Electronic mail addresses: <u>cpdepartment@rta.ae</u> <u>Abdallah.shahin@rta.ae</u></p> <p>Floor / Room : 1st Floor, Block C</p> <p>Street: Marrakesh Road</p> <p>Area: Umm Ramool</p> <p>P.O Box: P.O. Box 118899</p> <p>City: Dubai</p> <p>Country: United Arab Emirates</p> <p>The deadline for application submission is:</p> <p>Date: 31st May 2015</p> <p>Time: Before 12 noon UAE time</p>
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(*) ITA: Section A - Instructions to Applicants

SECTION C. Prequalification Criteria

Table of Contents

1.	ELIGIBILITY	22
2.	FINANCIAL SITUATION	23
3.	EXPERIENCE	24
4.	HISTORICAL CONTRACT NON PERFORMANCE	31
5.	ABILITY TO FINANCE THE PROJECT	31
6.	POINT SYSTEM EVALUATION THAT SHALL BE APPLIED	33

SECTION C. PREQUALIFICATION CRITERIA

This Section contains all the factors, methods and criteria that the Client shall use to evaluate applications. The information to be provided in relation to each factor and the definitions of the corresponding terms are included in the respective **Part 2 Application Forms**.

The Applicant shall provide documented evidences of their track records as mentioned in the Prequalification Criteria hereafter. Otherwise Road & Transport Authority reserves the rights to disregard the Applicant's statement or application during the point assessment.

The Consortium's / Joint Ventures need to have the capabilities as detailed in scope of works highlighted in Section A paragraph 2 and illustrated in Section D. The Applicant must provide an Organisation Structure (Section 3b) that establishes the different entities that are to perform the works and how they interface with each other.

Road & Transport Authority do not Invite Consultants who are already engaged on RTA projects to be part of any Consortium / Joint Venture, thus seen as a Conflict of Interest.

Prequalification Criteria			Compliance Requirements			Documentation
No.	Subject	Requirement	Single Entity	Consortium / Joint Venture		Submission Requirements
				All Parties Combined	Each Party	
1. Eligibility						
1.1	Conflict of Interest	No conflicts of interest in Section A – Instructions to Applicants.	Must meet requirement	Existing or intended Consortium / Joint Venture must meet requirement	Must meet requirement	Application Submission Sheet
1.2	Client’s country law	Not having been excluded as a result of the Client’s country laws or official regulations.	Must meet requirement	Must meet requirement	Must meet requirement	Application Submission Sheet

Prequalification Criteria			Compliance Requirements			Documentation
No.	Subject	Requirement	Single Entity	Consortium / Joint Venture		Submission Requirements
				All Parties Combined	Each Party	
2. Financial Situation						
2.1	General Status	Submission of financial statements acceptable to the Client, for the last Five (5) years to demonstrate: (a) the soundness of the applicants financial position and its prospective profitability, and (b) minimum average annual turnover of Five Billions AED (AED 5,000,000,000)	Must meet requirement (a) Must meet requirement (b) Must meet requirement	N / A (a) N / A (b) Must meet requirement	Must meet requirement (a) Must meet requirement (b) N / A	Form 3.1 with attachments
2.2	Average Annual Construction/ Manufacturing Turnover	Minimum average annual construction/manufacturing turnover of Two Billions AED (AED 2,000,000,000), in similar works calculated as total certified payments received for contracts in progress or completed, within the last Five (5) years.	Must meet requirement	Must meet requirement	N/A	Form 3.2

Prequalification Criteria			Compliance Requirements			Documentation
No.	Subject	Requirement	Single Entity	Consortium / Joint Venture		Submission Requirements
				All Parties Combined	Each Party	
3a. Experience						
3b. Organisation Structure						
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Prequalification Criteria			Compliance Requirements			Documentation
No.	Subject	Requirement	Single Entity	Consortium / Joint Venture		Submission Requirements
				All Parties Combined	Each Party	
3.1.1	General Construction Experience (Civil)	<ul style="list-style-type: none"> ISO Certification of the Applicant. Experience under construction contracts in the role of contractor or management contractor for at least the last Ten (10) years prior to the applications submission deadline, and with activity in at least six (6) months in each year. 	<p>Must meet requirement</p> <p>Must meet requirement</p>	<p>N / A</p> <p>N / A</p>	<p>Must meet requirement</p> <p>Must meet requirement</p>	<p>Demonstrate Applicable Certification</p> <p>Form 4.1</p>
3.1.2	Specific Construction Experience (Civil)	Participation as contractor, subcontractor or management contractor in similar contracts that have been successfully and substantially completed in the last Ten (10) years where the Applicant's share of the aggregate of the contract amounts adds up to at least Three Billions AED (AED 3,000,000,000), and where the Applicant's share of the contract amount in at least One (1) contract is One Billion AED (AED 1,000,000,000). The similarity shall be based on the physical size, complexity, methods/technology, contract performance and other characteristics as described in Section D, Description of Works.	Must meet requirement	Must meet requirement	N / A	Form 4.2(a) and Form 4.2(b)

Prequalification Criteria			Compliance Requirements			Documentation
No.	Subject	Requirement	Single Entity	Consortium / Joint Venture		Submission Requirements
				All Parties Combined	Each Party	
3.1.2 (cont')	(Civil)	<p>For the above or other contracts executed during the period stipulated in 3.1.1 above, a construction experience in the following key activities showing ability to complete works elements as follows:</p> <ul style="list-style-type: none"> ▪ Detailed design references for the key activities listed below, ▪ Bored Tunnel with a minimum 8.5 m diameter, ▪ Construction of minimum 20 km tunnel of such a diameter during the last Ten (10) years, ▪ Construction of Diaphragm walls, ▪ Construction of minimum 10 km length of pre-stressed and precast viaduct/bridge during the last 10 years, ▪ Construction of minimum 10,000 m pilling, at least 1.2 m diameter, during the last Ten (10) years, ▪ 300,000 m² (floor area) of building finishing per year, ▪ air conditioning system of a 17,500kW capacity. 	Must meet requirements	Must meet requirement	N / A	Form 4.2 (a) and Form 4.2(b)

Prequalification Criteria			Compliance Requirements			Documentation
No.	Subject	Requirement	Single Entity	Consortium / Joint Venture		Submission Requirements
				All Parties Combined	Each Party	
3.1.3.	Resources Personnel (Civil)	<p>Qualified staff requirements to be as follows:</p> <p>10% of the Engineering Workforce to have the following requirements:</p> <ul style="list-style-type: none"> Engineers having at least 15 years of general experience, 10 years of which in tunnel construction, Engineers having at least 15 years experience, 10 years of which in pre-stressed and precast viaduct/bridges, Engineers having at least 15 years experience, 10 years of which in building construction Engineers having at least 15 years experience, 10 years of which in MEP. 	Must meet requirements	Must meet requirements	N / A	Form 4.3
3.2.1	General Experience (Rail System)	<ul style="list-style-type: none"> ISO Certification of the Applicant. Experience in System Contract in the role of management and/or System provider for at least the last Ten (10) years prior to the applications submission deadline. <p>The Applicant should highlight his experience in the System Engineering, Interface and</p>	Must meet requirement	N / A	Must meet requirement	ISO Certificate
			Must meet requirement	N / A	Must meet requirement	Form 4.1

Prequalification Criteria			Compliance Requirements			Documentation
No.	Subject	Requirement	Single Entity	Consortium / Joint Venture		Submission Requirements
				All Parties Combined	Each Party	
		Integration management especially between rolling stock and the signaling system.				
3.2.2	Specific Experience (Rail System)	Participation as System Manager and/or System provider in fully automatic metro driverless system that have been successfully and substantially completed in the last Ten (10) years where the Applicant's share of the aggregate of the contract amounts adds up to at least Three Billions AED (AED 3,000,000,000), and where the Applicant's share of the contract amount in at least One (1) contract is One Billion AED (AED 1,000,000,000). The similarity shall be based on the physical size, complexity, methods/technology or other characteristics as described in Section D, Description of Works.	Must meet requirement	Must meet requirement	N / A	Form 4.2 (a) and Form 4.2(b)
3.2.2 (cont')	(Rail System)	For the above or other contracts executed during the period stipulated in 3.2.1 above, a System Turnkey experience with reference in the following key activities showing ability to complete works elements as follows: <ul style="list-style-type: none"> Safety records on the 3 last Mass Transit Projects recently completed with contact name and coordinates of the Client's 	Must meet requirements	Must meet requirement	N / A	

Prequalification Criteria			Compliance Requirements			Documentation
No.	Subject	Requirement	Single Entity	Consortium / Joint Venture		Submission Requirements
				All Parties Combined	Each Party	
		<p>Safety Manager,</p> <ul style="list-style-type: none"> At least one fully automatic metro driverless system successfully implemented with reliability records (RAMS) including records of disruption in at least the 2 first years of operation. Those records are to be detailed for the following performance indexes: <ul style="list-style-type: none"> - Train operation delivery (actual trips versus planned trips), - Train punctuality (% of train on time), - Delay time (actual time versus scheduled time between terminal station), Supply of minimum average of 100 train cars per year during the last Ten (10) years, Supply of train cars operating in a fully automatic metro driverless system with operation headway to reach 90 seconds, Supply of on board train car air conditioning system in similar climatic conditions of the project, Successful implementation of multiservice network for communication between the control center and the line, Implementation of smart card system with reliability records 				<p>Form 4.2 (a) and Form 4.2(b)</p> <p>For the reliability records, system overall performance reports, certified by the owner, to be provided.</p>

Prequalification Criteria			Compliance Requirements			Documentation
No.	Subject	Requirement	Single Entity	Consortium / Joint Venture		Submission Requirements
				All Parties Combined	Each Party	
		(number of smart card transaction per failure), <ul style="list-style-type: none"> Implementation of multimodal integrated automatic fare collection system such as metro/bus/park and ride/taxi, 				
3.2.3.	Understanding of Route 2020 Metro Rail Project	The applicants are required to provide to the client through the Prequalification Application a note of understanding of the overall project and the works to be implemented.				Note of understanding of the overall project and the works to be implemented (10 pages maximum).
3.2.4.	Resources Personnel (Rail System)	Qualified staff requirements to be as follows: 10% of the Engineering Workforce to have the following requirements. <ul style="list-style-type: none"> engineers having at least 8 years experience in rolling stock, engineers having at least 8 years experience in signaling, engineers having at least 8 years experience in communications, engineers having at least 8 years experience in fare collection system, engineers having at least 8 years experience in power supply, 	Must meet requirements	Must meet requirements	N / A	Form 4.3

Prequalification Criteria			Compliance Requirements			Documentation
No.	Subject	Requirement	Single Entity	Consortium / Joint Venture		Submission Requirements
				All Parties Combined	Each Party	
3. Historical Contract Non Performance						
4.1	History of Non-Performing Contracts	Non performance of a contract did not occur within the last Five (5) years prior to the deadline for application submission based on all information on fully settled disputes or litigation. A fully settled dispute or litigation is one that has been resolved in accordance with the Dispute Resolution Mechanism under the respective contract, and where all appeal instances available to the applicant have been exhausted.	Must meet requirement by itself or as party to past or existing Consortium / Joint Venture	N / A	Must meet requirement by itself or as party to past or existing Consortium / Joint Venture	Form 5
4.2	Pending Litigation	All pending litigation and arbitration shall in total not represent more than Fifteen percent (15%) of the Applicant's net worth and shall be treated as resolved against the Applicant.	Must meet requirement by itself or as party to past or existing Consortium / Joint Venture	N / A	Must meet requirement by itself or as party to past or existing Consortium / Joint Venture	Forms 5 and 6
4. Ability to Arrange the Financing of the Project						
5.1	General Status	(a) Submission of audited financial statements acceptable to the Client, for the last Five (5) years to demonstrate the current soundness of the applicants financial position and its prospective long term profitability.	(a) Must meet requirement	(a) N/A	(a) Must meet requirement	Form 7.1

Prequalification Criteria			Compliance Requirements			Documentation
No.	Subject	Requirement	Single Entity	Consortium / Joint Venture		Submission Requirements
				All Parties Combined	Each Party	
		(b)Submission of experience in project financing, and most of all in transportation project and design & construction contracts as global loan lead mandated arrangement for the last Five (5) years	(b) Must meet requirement	(b) Must meet requirement	(b) N/A	Form 7.2, Form 7.3 And Form 7.4

5. Point system Evaluation that shall be applied

Roads & Transport Authority shall not disclose any additional information/Prequalification assessment/Results related to this point system evaluation.

Route 2020 Criteria for Prequalifying Civil Work Contractors			
Section		Main Item (Weight)	Sub Items
No.	Title		Description
I	Generals & Admin. issues (9%)	Presentation (3%)	Quality of Submission
			Completeness of Submission and forms
		Structure and organization (3%)	Leadership
			Number of parties involved
			Proposed setup
		Local Involvement (3%)	Consulting
			Civil works
			Electromechanical works
II	Technical Capability in last 10 years (65%)	General Experience (8%)	Iso Certification
			Areas of Experience (including Research and Development Capability)
			System Integration Management
		Specific Experience (17%)	Overall No. of Metro Projects
			Overall Value of Metro Projects
			Contract Performance
		Specific Experience in Key Activities (30%)	Detailed design for similar works
			Length of tunnels constructed using TBM of 8.5 mt or more
			Experience in Diaphragm walls of 40-50 mt depth
			Experience in piling
			Experience in precast/segment viaduct works
			Experience in boring tunnels under waterways
			Experience in AC works for Metros in similar conditions
		Key Technical Staff (0%)	Experience in Metro Projects
			Number of Qualified staff
			Overall number of staff year experience in similar works
		No. of Years of Relevant Experience (5%)	Company years of experience in similar works
		Plants & Equipment (5%)	No. & value of available plants & equipment
III	Financial Situation & Financial Capability (26%)	Financing Capability (26%)	Average annual construction turnover in last 5 years
			Value of works in last 5 years
			Capital value
			Liquidity/indebtedness
			Assets
			Profitability/Consistency
			Litigation and historical contract non performance
			No. of similar projects financed previously
			Overall value of projects financed previously
			Clarity of financing approach & up to date achievements

Criteria for Prequalifying Rail System Suppliers

Section		Main Item (Weight)	Sub Items
No.	Title		Description
I	Generals & Admin. Issues (8%)	Presentation (3%)	Quality of Submission
			Completeness of Submission and forms
		Structure and organization (3%)	Leadership
			Number of parties involved
			Proposed setup
		Local Involvement (2%)	Number of years in UAE/Middle East, number of staff, past projects in UAE/Middle East
II	Technical Capability in last 10 years (66%)	General Experience (8%)	Iso Certification
			Areas of Experience (including Research and Development Capability)
			System Integration Management
		Specific Experience (13%)	Overall No. of Metro Projects
			Overall Value of Metro Projects
		Specific Experience in Key Activities (30%)	Past rail car production
			Service quality records/ RAMS
			Driverless operation @ 90 seconds headway capability
			System integration/Interface management
			On board air conditioning in similar climatic conditions
			Experience in multimodal integrated automatic fare collection (metro/bus/park and ride)
		Key Technical Staff (5%)	Experience in Metro Projects
			Number of Qualified staff
			Overall number of staff year experience in similar works
		No. of Years of Relevant Experience (5%)	Company years of experience in similar works
		Understanding of Route 2020 Project (5%)	Understanding report
III	Financial Situation & Financial Capability (26%)	Financial Capabilities (26%)	Average annual construction turnover in last 5 years
			Value of works in last 5 years
			Capital value
			Liquidity/indebtedness
			Assets
			Profitability/Consistency
			Litigation and historical contract non performance
			No. of similar projects financed previously
			Overall value of projects financed previously
			Clarity of financing approach & up to date achievements

Criteria for evaluation of the Consortium / Joint Venture capability:

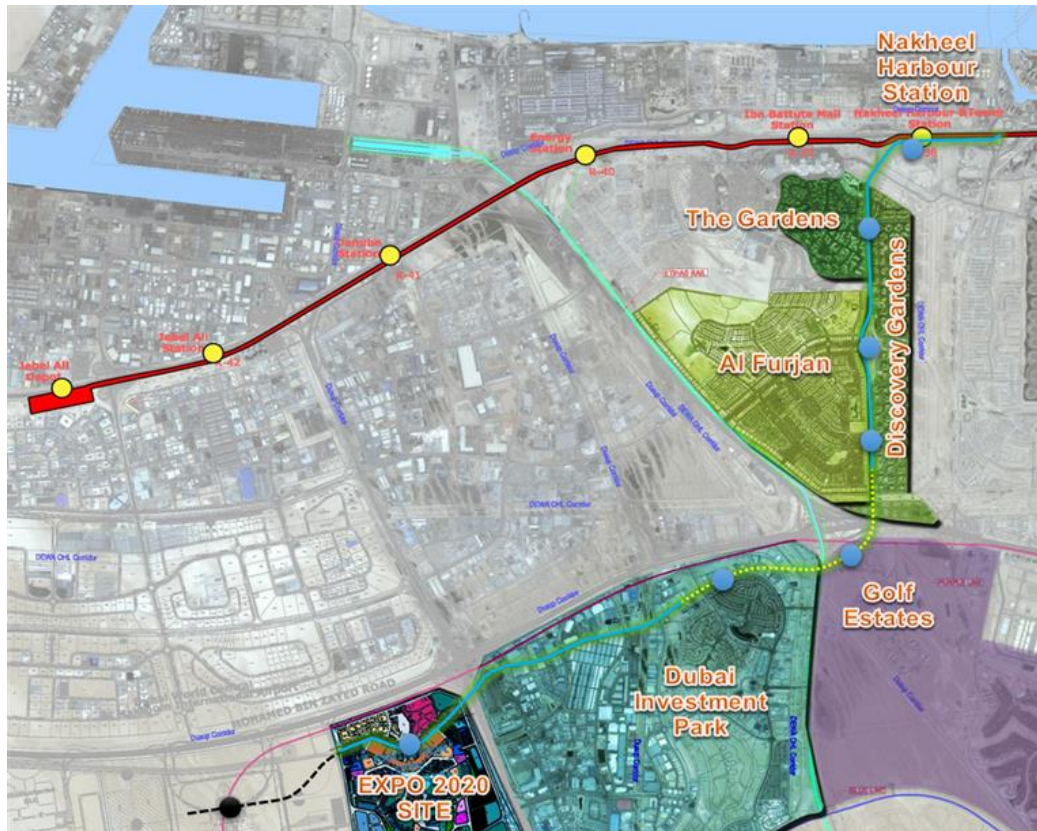
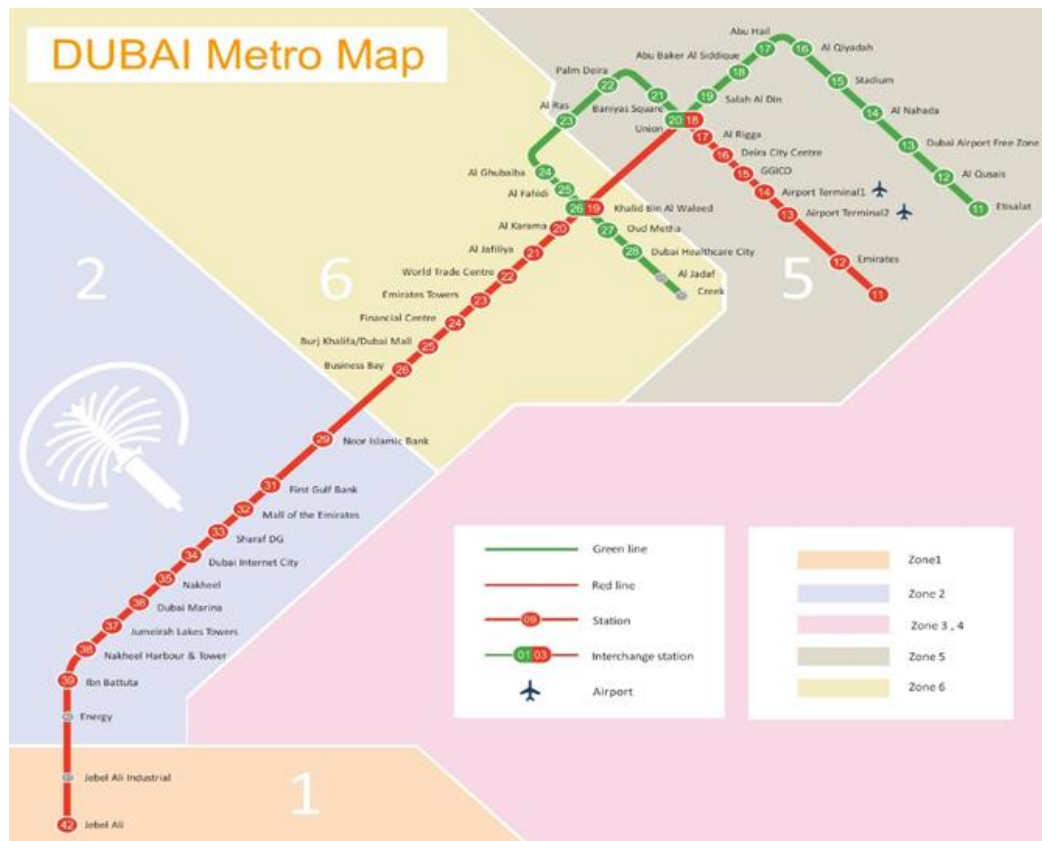
DISCIPLINE	POINTS ASSIGNED
Civil	25
Systems (Supply)	15
Systems (Integrator)	25
Rolling Stock	20
Financing Capability	15
TOTAL	100

SECTION D. Works Requirements

Table of Contents

1.	PROJECT MAP AND ROUTE	38
2.	DESCRIPTION OF THE WORKS AND KEY OBJECTIVES.....	39

1. PROJECT MAP AND ROUTE



ROUTE 2020 ALIGNMENT

2. DESCRIPTION OF THE WORKS AND KEY OBJECTIVES

The project involves the design, construction, installation, testing and commissioning, system maintenance of the Route 2020 Metro Rail Project.

To facilitate and support Dubai's hosting of 2020 World EXPO, the Roads & Transport Authority are developing a link between the existing Dubai Metro Red Line adjacent to Sheikh Zayed Road to the EXPO 2020 site near Al Maktoum International Airport. The connection will add around 14 Km of track and as many as 7 (seven) new Metro Stations, 2 (two) of which will be underground and the other elevated.

The project implementation includes the following works:

- a) Development of the design including civil works, electromechanical equipment and rail system,
- b) Geotechnical investigation and topographical surveys,
- c) Manufacturing and tunneling works,
- d) Lines facilities including viaduct, tunnel, cut and cover and all appropriate structures,
- e) Station facilities including the underground, elevated and at grade stations,
- f) Electromechanical equipment including air conditioning, ventilation, elevators, escalators, dewatering,
- g) Annexed structures along the line including ventilation structures, dewatering structures, fire brigade access,
- h) Arrangement works including mainly masonry works, damp & waterproofing, flooring, plaster works, suspended ceiling, wall facing, marble and granite works, painting works, metal works, glass and glazing, concrete works for arrangement, plumbing and sanitary works, electric works, miscellaneous such as waste bag holders, rotating barriers, seats, toilet partitions, sign board panels, ...
- i) Temporary works and measures necessary for the construction of the project,
- j) Development and implementation of a traffic management plan including constructing and maintaining temporary special routing, road and access as required for the works to be separated and interference with the actual roads, and furnishing, maintaining and relocating signs, barriers, and other safety devices to control traffic around and through the site and to protect against damage and injury,
- k) Public utilities relocation and protection,
- l) Construction of the depot facilities including civil works only,
- m) Implementation of the rail system including:
 - Rolling Stock
 - Automatic Train Control for non-attended train operation
 - Integrated Operation Control Centre (OCC)
 - Communication systems
 - Fare collection system
 - Power Supply and Distribution (for 750Vdc via 3 rail)

- Platform screen doors
 - Track works
 - Maintenance facilities
 - System Enhancements to the current Dubai Metro Red and Green Line
- n) System integration tests including the trial tests and commissioning,
- o) System maintenance during the DLP.

The Key objectives of the project are:

- a) Connect the existing Metro Red Line to serve the EXPO 2020 site in time for the EXPO 2020
- b) Flexible and sustainable rail system serving the major developments along the route
- c) Promote transit share and forming part of Dubai-wide transit network
- d) Compatibility and smooth connection with the existing Red Line
- e) Deliver a safe, reliable, available and sustainable system

The Key challenges of the project are:

- a) Delivering a consistent operating system fully integrated with the existing Dubai Metro
- b) Ensuring safe, reliable and available operation of the existing Red Line during the construction of the extension
- c) Developing a construction schedule and integration approach that minimizes impact on the existing Red Line and allows opening in time
- d) Coordinate Construction Schedule and site access
- e) Optimize the use of energy efficient solutions
- f) Provide flexible train routes with short headways to cater for the ridership demand up to 2030
- g) Operate from the existing depots

Additional considerations:

Once the new line is in service, trains shall be able to operate seamlessly between the existing Red Line and the ROUTE 2020 line. The system shall be capable to offer the Operator with flexible routes and headway services and to be scalable to easily allow for planned extension at all ends of the network.

The new line must be completed so as to be able to serve the EXPO 2020. To ensure a reliable service is available at the time, the integrated system is required to be completed by December 2019.

ROADS & TRANSPORT AUTHORITY
ROUTE 2020

Design & Construction
Prequalification Documents



route2020
EXPO 2020 2020

Route 2020 – Prequalification Documentation	
PREQUALIFICATION PART 2 RFQ APPLICATION FORMS	
Document Number	28/04/2015
R L C - 0 0 0 - A R C - C 0 0 - R P T - P S - 0	A4

Route 2020 Prequalification Application Forms

Table of Forms

APPLICATION SUBMISSION SHEET	3
FORM 1: APPLICANT INFORMATION SHEET	4
FORM 2: APPLICANT INFORMATION SHEET	5
FORM 3.1: FINANCIAL SITUATION GENERAL STATUS	6
FORM 3.2: AVERAGE ANNUAL CONSTRUCTION TURNOVER.....	7
FORM 4.1: GENERAL CONSTRUCTION EXPERIENCE	8
FORM 4.2(A)+(B) : SPECIFIC CONSTRUCTION EXPERIENCE	9
FORM 4.3: RESOURCES - PERSONNEL.....	13
FORM 4.4: RESOURCES - PLANT AND EQUIPMENT	16
FORM 5: HISTORICAL CONTRACT NON-PERFORMANCE	17
FORM 6: LITIGATION HISTORY	18
FORM 7.1: FINANCIAL SITUATION GENERAL STATUS - BANKS	19
FORM 7.2: GLOBAL LOANS FOR PROJECT FINANCE.....	20
FORM 7.3: GENERAL PROJECT FINANCING EXPERIENCE	21
FORM 7.4: SPECIFIC TRANSPORTATION PROJECT FINANCING EXPERIENCE	22

Application Submission Sheet

Date: **(insert day, month, year)**
RFQ/IFQ No **(insert RFQ/IFQ number)**

To: ROADS & TRANSPORT AUTHORITY

We, the undersigned, apply to be prequalified for the referenced Project Implementation as named in Part 1, Section A – Instructions to Applicants paragraph 2 and declare that:

We have examined and have no reservations to the Prequalification Document, including Addenda N° **(insert the number and issuing date of each addenda)** issued in accordance with Part 1, Section A – Instructions to Applicants paragraph 8:

_____;

We, including any subcontractors or suppliers for any part of the contract subject to this prequalification do not have any conflict of interest, in accordance with Part 1, Section A – Instructions to Applicants paragraph 5.2;

We, including any subcontractors or suppliers for any part of the contract subject to this prequalification, have not been declared ineligible under the Client's country laws or official regulations, in accordance with Part 1, Section A – Instructions to Applicants paragraph 5;

We, in accordance with Part 1, Section A – Instructions to Applicants paragraph 23, plan to subcontract the following key activities and/or parts of the works:_____ **(insert any of the key activities identified in Form 4.2b which the Applicant intends to subcontract)**

We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any application that you may receive nor to invite the prequalified applicants to bid for the contract subject of this prequalification, without incurring any liability to the Applicants, in accordance with Part 1, Section A – Instructions to Applicants paragraph 25.

Signed ____ **(insert signature of person whose name and capacity are shown below)** _____

Name _____ **(insert full name of person)** _____,

In the Capacity of _____ **(insert legal capacity of person signing the application)** _____,

Duly authorized to sign the application for and on behalf of:

Applicant's Legal Name _____ **(insert full name of Applicant)** _____

Address _____ **(insert street number/town or city/country address)** _____

Dated on ____ (insert day number) __ day of ____ (insert month) ____, ____ (insert year) ____.

Form 1: Applicant Information Sheet

Date: [insert day, month, year]
RFQ/IFQ No.: [insert Invitation for Prequalification number]
Page [insert page number] of [insert total number] pages

Applicant's legal name: ____ [insert full legal name] ____
In case of Consortium / Joint Venture, legal name of each party: ____ [insert full legal name of each party in Consortium / Joint Venture] ____
Applicant's Actual or Intended country of constitution: ____ [indicate country of Constitution] ____
Applicant's actual or Intended year of constitution: ____ [indicate year of Constitution] ____
Applicant's legal address in country of constitution: ____ [insert street/ number/ town or city/ country] ____
Applicant's authorized representative information Name: ____ [insert full legal name] ____ Address: ____ [insert street/ number/ town or city/ country] ____ Telephone/Fax numbers: [insert telephone/fax numbers, including country and city codes] E-mail address: ____ [indicate e-mail address] ____
Name and address of Bankers of the Applicant: Name: ____ [insert full legal name] ____ Address: ____ [insert street/ number/ town or city/ country] ____ Name of the Person the Client may contact: ____ [insert full name] ____
Attached are copies of original documents of: Articles of Incorporation or Documents of Constitution of the legal entity named above, in accordance with Part 1, Section A – Instructions to Applicants paragraph 5.1 and 5.2. In case of Consortium / Joint Venture, letter of intent to form Consortium / Joint Venture or Consortium / Joint Venture agreement, in accordance with Part 1, Section A – Instructions to Applicants paragraph 5.1.

Form 2: Applicant Information Sheet

For each Consortium / Joint Venture Party

And/or Subcontractor as per Part 1, Section A – Instructions to Applicants paragraph 23.2

Date: [insert day, month, year]
RFQ/IFQ No.: [insert Invitation for Prequalification number]
Page [insert page number] of [insert total number] pages

Each Applicant that is a Consortium / Joint Venture Party and each Subcontractor in key activity must submit this information.

Consortium / Joint Venture applicant legal name: ___[insert full legal name]___
Consortium / Joint Venture's party/Subcontractor's legal name: ___[insert full legal name of Consortium / Joint Venture party or Subcontractor]___
Consortium / Joint Venture's party/Subcontractor's country of registration: ___[indicate country of registration]___
Consortium / Joint Venture's party/Subcontractor's year of constitution: ___[indicate year of constitution]___
Legal name of the Company leading the Consortium/Joint Venture: ___[insert full legal name of the Company leading the Consortium / Joint Venture]___
Consortium / Joint Venture's party/Subcontractor's legal address in country of constitution: ___[insert street/ number/ town or city/ country]___
Consortium / Joint Venture's party authorized representative information Name: ___[insert full legal name]___ Address: ___[insert street/ number/ town or city/ country]___ Telephone/Fax numbers: [insert telephone/fax numbers, including country and city codes] E-mail address: [indicate e-mail address]
Attached are copies of original documents of: Articles of Incorporation or Documents of Constitution of the legal entity named above, in accordance with Part 1, Section A– Instructions to Applicants paragraph 5.1 and 5.2.

Form 3.1: Financial Situation General Status

Applicant's Legal Name: [insert full name] Date: [insert day, month, year]
 Consortium / Joint Venture Party Legal Name: [insert full name] RFQ/IFQ No.: [insert Invitation for Prequalification number]

Page [insert page number] of [insert total number] pages

To be completed by the Applicant and, if Consortium / Joint Venture, by each party

Financial information in AED equivalent	Historic information for previous [insert in words and figures] () years (AED equivalent in 000s)				
	Year 2010	Year 2011	Year 2012	Year 2013	Year 2014
Information from Balance Sheet					
Total Assets (TA)					
Total Liabilities (TL)					
Net Worth (NW)					
Current Assets (CA)					
Current Liabilities (CL)					
Information from Income Statement					
Total Revenue (TR)					
Profits Before Taxes (PBT)					

Note: All figures should be expressed in **AED** considering the exchange rates at the date of launching the prequalification i.e. **6th May, 2015** as published by National Bank of Dubai, average of Cash Sell Rate and Cash Buy Rate.

Financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following conditions:

- Must reflect the financial situation of the Applicant or party to a Consortium / Joint Venture and parent companies.
- Historic financial statements must be audited and certified by a certified accountant.
- Historic financial statements must be complete, including all notes to the financial statements.
- Historic financial statements must correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted).

Provide the name and address of Bankers from whom references can be obtained.

Form 3.2: Average Annual Construction Turnover

Applicant's Legal Name: [insert full name]

Date: [insert day, month, year]

Consortium / Joint Venture Party Legal Name: [insert full name]
for Prequalification number]

RFQ/IFQ No.: [insert Invitation

Subcontractor's Legal Name: [insert full name]

Page [insert page number] of [insert total number] pages

Annual turnover data (construction only)		
Year	Amount and Currency	AED equivalent
[indicate year] _____ - Home - Abroad - U.A.E.	[insert amount and indicate currency] _____ 	[insert amount in AED equiv.] _____
[indicate year] _____ - Home - Abroad - U.A.E.	[insert amount and indicate currency] _____ 	[insert amount in AED equiv.] _____
	_____	_____
	_____	_____
	_____	_____
Average Annual Construction Turnover (*)	_____	_____

* Average annual construction turnover calculated as total certified payments received for work in progress or completed, divided by the number of years specified in Part 1, Section C, Prequalification Criteria, Sub-Factor 2.2.

Note: All figures should be expressed in **AED** considering the exchange rates at the date of launching the prequalification i.e. **6th May, 2015** as published by National Bank of Dubai, average of Cash Sell Rate and Cash Buy Rate.

Form 4.1: General Construction Experience

Applicant's Legal Name: [insert full name]

Date: [insert day, month, year]

Consortium / Joint Venture Party Legal Name: [insert full name]
for Prequalification number]

RFQ/IFQ No.: [insert Invitation

Page [insert page number] of [insert total number] pages

Identify contracts that demonstrate continuous construction work in accordance with Part 1, Section C, Prequalification Criteria Sub-Factor 3.1.1. and 3.2.1

Note: These information have to be given for at least the last Ten (10) years.

Starting Month / Year (*)	Ending Month / Year	Contract Identification	Role of Applicant
[indicate month/year] _____	[indicate month/year] _____	Contract name: [insert full name] Brief Description of the Works performed by the Applicant: [describe works performed briefly] Name of Client: [indicate full name] Address: [indicate street/number/town or city/country address]	[indicate whether as Contractor, Subcontractor, or Contract Manager] _____
_____	_____	Contract name: Brief Description of the Works performed by the Applicant: Name of Client: Address:	_____
_____	_____	Contract name: Brief Description of the Works performed by the Applicant: Name of Client: Address:	_____
_____	_____	Contract name: Brief Description of the Works performed by the Applicant: Name of Client: Address:	_____
_____	_____	Contract name: Brief Description of the Works performed by the Applicant: Name of Client: Address:	_____

(*) List calendar year for years starting with the earliest year

Form 4.2(a): Specific Construction Experience

Applicant's Legal Name: [insert full name]
 Consortium / Joint Venture Party Name: [insert full name]
 for Prequalification number]

Date: [insert day, month, year]
 RFQ/IFQ No.: [insert Invitation

Page [insert page number] of [insert total number] pages

Note: These information have to be given for at least the last Ten (10) years.

Similar Contract No. ___[insert specific number]___	Information		
Contract Identification	_[insert contract name and number, if applicable]_		
Award date	_[insert day, month, year]_		
Completion date	_[insert day, month, year]_		
Role in Contract [check the appropriate box]	Contractor <input type="checkbox"/>	Management Contractor <input type="checkbox"/>	Subcontractor <input type="checkbox"/>
Total Contract Amount	[insert total contract amount in local currency]___		AED ___[insert total contract amount in AED equivalent]
If party in a Consortium / Joint Venture or subcontractor, specify participation of total contract amount	[insert a percentage amount] _____	[insert total contract amount in local currency] _____	[insert total contract amount in AED equivalent] _____

Note: All figures should be expressed in **AED** considering the exchange rates at the date of launching the prequalification i.e. **6th May, 2015** as published by National Bank of Dubai, average of Cash Sell Rate and Cash Buy Rate.

Form 4.2 (a) (cont.) Specific Construction Experience (cont.)

Applicant's Legal Name: [insert full name] Page [insert page number] of [insert total number] pages

Consortium / Joint Venture Party Legal Name: [insert full name]

Note: These information have to be given for at least the last Ten (10) years.

Similar Contract No. ___[insert specific number]___	Information
Description of the similarity in accordance with Sub-Factor 4.2(a) of Section C:	
1. Amount	___[insert amount in AED in words and in figures]___
2. Physical size	___[insert physical size of activities]___
3. Complexity	___[insert description of complexity]___
4. Methods/Technology	___[insert specific aspects of the methods/technology involved in the contract]___
5. Other Characteristics	___[insert other characteristics as described in Part 1, Section D, Description of Works]___
6. Contract Performance <ul style="list-style-type: none"> • On time Completion • Number of major and serious incidents during construction • Minimum disturbance to surrounding during construction • Quality of the works during the first years of operation such as water tightness, crack on structure, etc... • Innovative ideas, if any, resulting in cost reductions 	___[insert contract performance information supported by Statements certified by the Owner]___

Note: All figures should be expressed in **AED** considering the exchange rates at the date of launching the prequalification i.e. **6th May, 2015** as published by National Bank of Dubai, average of Cash Sell Rate and Cash Buy Rate.

Form 4.2 (b): Construction Experience in Key ActivitiesApplicant's Legal Name: **[insert full name]**Date: **[insert day, month, year]**Consortium / Joint Venture Party Name: **[insert full name]**
for Prequalification number]RFQ/IFQ No.: **[insert Invitation**Subcontractor's Legal Name (as per Part 1, Section A– Instructions to Applicants paragraph 23.2):
[insert full name]Page **[insert page number]** of **[insert total number]** pages

All Subcontractors for key activities must complete the information in this form as per Part 1, Section A – Instructions to Applicants paragraph 23.2 and Part 1, Section C, Prequalification Criteria, Sub-Factor 3.1.2.and 3.2.2.

Note: These information have to be given for at least the last Ten (10) years.

	Information		
Contract Identification	___[insert contract name and number, if applicable]___		
Award date	___[insert day, month, year]___		
Completion date	___[insert day, month, year]___		
Role in Contract [check the appropriate box]	Contractor <input type="checkbox"/>	Management Contractor <input type="checkbox"/>	Subcontractor <input type="checkbox"/>
Total Contract Amount	___[insert total contract amount in local currency]___		___[insert total contract amount in AED equivalent]___
If party in a Consortium / Joint Venture, specify participation of total contract amount	___[insert a percentage amount]___	___[insert total contract amount in local currency]___	___[insert total contract amount in AED equivalent]___
Client's Name:	___[insert full name]___		
Address:	___[indicate street / number / town or city / country]___		
Telephone/fax number	___[insert telephone/fax numbers, including country and city area codes]___		
E-mail:	___[insert e-mail address, if available]___		

Note: All figures should be expressed in **AED** considering the exchange rates at the date of launching the prequalification i.e. **6th May, 2015** as published by National Bank of Dubai, average of Cash Sell Rate and Cash Buy Rate.

Form 4.2 (b) (cont.) Construction Experience in Key Activities

Applicant's Legal Name: **[insert full name]** Page **[insert page number]** of **[insert total number]** pages

Consortium / Joint Venture Party Legal Name: **[insert full name]**

Subcontractor's Legal Name (as per Part 1, Section A – Instructions to Applicants paragraph 23.2): **[insert full name]**

Note: These information have to be given for at least the last Ten (10) years.

	Information
Description of the key activities in accordance with Sub-Factor 3.1.2 of Section C:	
	<i>__[insert response to inquiry indicated in left column]__</i>

Form 4.3: Resources - Personnel

Applicant's Legal Name: [insert full name]

Date: [insert day, month, year]

Consortium / Joint Venture Party Legal Name: [insert full name]
for Prequalification number]

RFQ/IFQ No.: [insert Invitation

Subcontractor's Legal Name: [insert full name]

Page [insert page number] of [insert total number] pages

1 - Number of Staff : (indicate number)

Technical : (indicate number)

Administrative : (indicate number)

2 – Please list all Present Executive Directors :

Name	Position	Years with Company	Years in Field
(indicate name)	(indicate position)	(indicate number)	(indicate number)

Form 4.3 (cont.) Resources - Personnel

Page [insert page number] of [insert total number] pages

3 - List of information about other key personnel below:

1. Name : Position :

Years of Experience - with the Company :

- in this Field :

Major works for which responsible (type and value) :

Linguistic ability relevant to the project :

2. Name : Position :

Years of Experience - with the Company :

- in this Field :

Major works for which responsible (type and value) :

Linguistic ability relevant to the project :

Form 4.3 (cont.) Resources - Personnel

Page [insert page number] of [insert total number] pages

<p>3. Name :</p> <p>Years of Experience - with the Company :</p> <p>- in this Field :</p> <p>Major works for which responsible (type and value) :</p> <p>Linguistic ability relevant to the project :</p>	<p>Position :</p>
<p>4. Name :</p> <p>Years of Experience - with the Company :</p> <p>- in this Field :</p> <p>Major works for which responsible (type and value) :</p> <p>Linguistic ability relevant to the project :</p>	<p>Position :</p>

Form 4.4: Resources - Plant and Equipment

Applicant's Legal Name: [insert full name]

Date: [insert day, month, year]

Consortium / Joint Venture Party Legal Name: [insert full name]
for Prequalification number]

RFQ/IFQ No.: [insert Invitation

Subcontractor's Legal Name: [insert full name]

Page [insert page number] of [insert total number] pages

On the basis of the information provided in the prequalification documents indicate the main plant considered necessary by the Company for undertaking the project and whether this plant is already in the Company's ownership or will be purchased or hired:

Form 5: Historical Contract Non-PerformanceApplicant's Legal Name: **[insert full name]**Date: **[insert day, month, year]**Consortium / Joint Venture Party Legal Name: **[insert full name]**
for Prequalification number]RFQ/IFQ No.: **[insert Invitation**Page **[insert page number]** of **[insert total number]** pages

Non-Performing Contracts in accordance with Part 1, Section C, Prequalification Criteria			
Contract non-performance did not occur during the stipulated period, in accordance with Sub-Factor 4.1 of Part 1, Section C, Prequalification Criteria.			
Pending Litigation, in accordance with Section III, Prequalification Criteria			
No pending litigation in accordance with Sub-Factor 4.2 of Part 1, Section C, Prequalification Criteria. Pending litigation in accordance with Sub-Factor 4.2 of Part 1, Section C, Prequalification Criteria, as indicated below.			
Year	Outcome as Percent of Total Assets	Contract Identification	Total Contract Amount (current value, AED equivalent)
[insert year] _____	[insert percentage] _____	Contract Identification: [indicate complete contract name/ number and any other identification] Name of Client: [insert full name] Address of Client: [insert street/city/country] Matter in dispute: [indicate main issues in dispute]	[insert amount] _____
_____	_____	Contract Identification: Name of Client: Address of Client: Matter in dispute:	_____
Litigation History			
Attached is a list, as per the attached form 6 Litigation History, reflecting the history of litigation or arbitration over the last ten (10) years.			

Note: All figures should be expressed in **AED** considering the exchange rates at the date of launching the prequalification i.e. **6th May, 2015** as published by National Bank of Dubai, average of Cash Sell Rate and Cash Buy Rate.

Form 6: Litigation History

Name of Applicant or partner of a Consortium / Joint Venture: ____**[insert full name]**_____

Page **[insert page number]** of **[insert total number]** pages

Applicants, including each of the partners of a Consortium / Joint Venture, shall provide information on any history of litigation or arbitration resulting from contracts executed in the last ten (10) years or currently under execution. A separate sheet should be used for each partner of a Consortium / Joint Venture.

<i>Year</i>	<i>Award FOR or AGAINST Applicant</i>	<i>Name of client, cause of litigation, and matter in dispute</i>	<i>Disputed amount (current value AED equivalent)</i>
[insert year]	[insert FOR or AGAINST]	[insert full name of client] [insert cause of litigation] [insert description of matter in dispute]	[insert disputed amount in current value AED equivalent]

Note: All figures should be expressed in **AED** considering the exchange rates at the date of launching the prequalification i.e. **6th May, 2015** as published by National Bank of Dubai, average of Cash Sell Rate and Cash Buy Rate.

Form 7.1: Financial Situation General Status - Banks

Applicant's Legal Name: [insert full name] Date: [insert day, month, year]
 Consortium / Joint Venture Party Legal Name: [insert full name] RFQ/IFQ No.: [insert Invitation
 for Prequalification number]

Page [insert page number] of [insert total number] pages

To be completed by the Applicant bank and, if group of banks, by each party

Financial information in AED equivalent	Historic information for previous [insert in words and figures] () years (AED equivalent in 000s)				
	Year 2010	Year 2011	Year 2012	Year 2013	Year 2014
Information from Balance Sheet					
Total Assets (TA)					
Total Liabilities (TL)					
Net Worth (NW)					
Information from Income Statement					
Total Revenue (TR)					
Profits Before Taxes (PBT)					

Financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following conditions:

- Must reflect the financial situation of the Bank supporting the Consortium / Joint Venture, and its parent company.
- Historic financial statements must be audited and certified by a certified accountant.
- Historic financial statements must be complete, including all notes to the financial statements.
- Historic financial statements must correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted).

Note: All figures should be expressed in **AED** considering the exchange rates at the date of launching the prequalification i.e. **6th May, 2015** as published by National Bank of Dubai, average of Cash Sell Rate and Cash Buy Rate.

Form 7.2: Global loans for Project Finance

Applicant's Legal Name: [insert full name]

Date: [insert day, month, year]

Consortium / Joint Venture Party Legal Name: [insert full name]
for Prequalification number]

RFQ/IFP No.: [insert Invitation

Page [insert page number] of [insert total number] pages

Note: This Form is to be filled by the Applicant financial institution

Global loans for Project Finance			
Year	Amount and Currency	Number of projects	Nature of the financing method
[indicate year] _____ - World - Middle-east - U.A.E. - Transportation sector - Turnkey contracts - Global mandated lead arranger	[insert amount and indicate currency] _____ 		[insert loans, bonds...] _____
[indicate year] _____ - World - Middle-east - U.A.E. - Transportation sector - Turnkey contracts - Global mandated lead arranger	[insert amount and indicate currency] _____ 		[insert loans, bonds...] _____

Note: All figures should be expressed in **AED** considering the exchange rates at the date of launching the prequalification i.e. **6th May, 2015** as published by National Bank of Dubai, average of Cash Sell Rate and Cash Buy Rate.

Form 7.3: General Project Financing Experience

Applicant's Legal Name: [insert full name]

Date: [insert day, month, year]

Consortium / Joint Venture Party Legal Name: [insert full name]
for Prequalification number]

RFQ/IFP No.: [insert Invitation

Page [insert page number] of [insert total number] pages

Identify contracts that demonstrate continuous Project Financing activities in accordance with Part 1, Section C, Prequalification Criteria, Sub-Factor 5.1.

Notes:

- These information have to be given for at least the last Five (5) years regarding the Ten most important projects where the Bank was mandated Lead Arranger.
- This Form is to be filled by the Applicant financial institution.

Starting Month / Year (*)	Ending Month / Year	Contract Identification
[indicate month/ year] _____	[indicate month/ year] _____	Contract name: [insert full name] Brief Description of the Project: [describe Project performed briefly] Name of Client: [indicate full name] Address: [indicate street/number/town or city/country address] Global amount financed by the group of banks: [indicate global amount of the Project] Amount financed by the bank: [indicate the amount directly financed by your company]
		Contract name: Brief Description of the Project: Name of Client: Address: Global amount financed by the group of banks: Amount financed by the bank:

(*) List calendar year for years starting with the earliest year

Note: All figures should be expressed in **AED** considering the exchange rates at the date of launching the prequalification i.e. **6th May, 2015** as published by National Bank of Dubai, average of Cash Sell Rate and Cash Buy Rate.

Form 7.4: Specific Transportation Project Financing Experience

Applicant's Legal Name: [insert full name]

Date: [insert day, month, year]

Consortium / Joint Venture Party Legal Name: [insert full name]
for Prequalification number]

RFQ/IFP No.: [insert Invitation

Page [insert page number] of [insert total number] pages

Notes:

- These information have to be given for at least the last Five (5) years.
- This Form is to be filled by the Applicant's financial institution.
- Please fill one form for each Project.

Similar Contract No. ___[insert specific number]___	Information		
Contract Identification	___[insert contract name and number, if applicable]___		
Award date	___[insert day, month, year]___		
Completion date	___[insert day, month, year]___		
Place	___[insert place and country of the Project]___		
Role in Contract [check the appropriate box]	Lead arranger <input type="checkbox"/>	Member <input type="checkbox"/>	
Total Contract Amount	___[insert total contract amount in local currency]___		AED ___[insert total contract amount in AED equivalent]___
If party in a Group of banks specify participation of total contract amount	[insert a percentage amount] _____	[insert total contract amount in local currency] _____	[insert total contract amount in AED equivalent] _____

Note: All figures should be expressed in **AED** considering the exchange rates at the date of launching the prequalification i.e. **6th May, 2015** as published by National Bank of Dubai, average of Cash Sell Rate and Cash Buy Rate.

Form 7.4 (cont.) Specific Transportation Project Financing Experience

Applicant's Legal Name: [insert full name] Page [insert page number] of [insert total number] pages

Consortium / Joint Venture Party Legal Name: [insert full name]

Notes: These information have to be given for at least the last Five (5) years.

This Form is to be filled by the Applicant's financial institution.

Please fill one form for each Project.

Similar Contract No. ___[insert specific number]___	Information
Description of the similarity in accordance with Sub-Factor 5.1(b) of Section C:	
- Amount financed & currency	___[insert amount and the currency]___
- Nature of financing	___[specify Loan, Bonds...]___
- Duration	___[insert duration]___
- Rate	___[insert rate]___
- Guarantees	___[insert type of guarantees]___

Note: All figures should be expressed in **AED** considering the exchange rates at the date of launching the prequalification i.e. **6th May, 2015** as published by National Bank of Dubai, average of Cash Sell Rate and Cash Buy Rate.